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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## **UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b)

| Attorney Docket No. 003498.P033                                   | Total Pages 5 |
|---|---------------|
| First Named Inventor or Application Identifier Rajugopal R. Gubbi | )C.5.0        |
| Express Mail Label No. EL371011441US                              |               |
| Express Mail Label No. EL371011441US                              |               |

| ADDRESS TO: | Assistant Commissioner for Patents |  |
|-------------|------------------------------------|--|
|             | Box Patent Application             |  |
|             | Washington, D. C. 20231            |  |

|            |          | ELEMENTS hapter 600 concerning utility patent application contents.   |  |  |  |  |
|------------|----------|---|--|--|--|--|
| 1.         | <u> </u> | Fee Transmittal Form (Submit an original, and a duplicate for fee processing)   |  |  |  |  |
| 2.         | _x_      | Specification (Total Pages) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure |  |  |  |  |
| 3.         | <u>x</u> | Drawings(s) (35 USC 113) (Total Sheets 2)   |  |  |  |  |
| 4.         | X        | Oath or Declaration (Total Pages 4)   |  |  |  |  |
|            |          | ax_ Newly Executed (Original or Copy)   |  |  |  |  |
|            |          | b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed) (Note Box 5 below)   |  |  |  |  |
|            |          | i. <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  |  |  |  |  |
| 5.         |          | Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.   |  |  |  |  |
| 6.         |          | Microfiche Computer Program (Appendix)  |  |  |  |  |
| ار<br>12/0 | 1/97     | -1- PTO/SR/05 (12/97)   |  |  |  |  |





| 7.                                     | Nucleotide and/or Amino Acid Sequence Submission  (if applicable, all necessary) a Computer Readable Copy b Paper Copy (identical to computer copy) c Statement verifying identity of above copies |  |  |  |  |  |
|--|--|--|--|--|--|--|
|  | ACCOMPANYING APPLICATION PARTS   |  |  |  |  |  |
| 8.<br>9.                               | _x Assignment Papers (cover sheet & documents(s)) a. 37 CFR 3.73(b) Statement (where there is an assignee)   |  |  |  |  |  |
|  | x b. Power of Attorney   |  |  |  |  |  |
| 10.                                    | English Translation Document (if applicable)   |  |  |  |  |  |
| 11.                                    | a. Information Disclosure Statement (IDS)/PTO-1449   |  |  |  |  |  |
|  | b. Copies of IDS Citations   |  |  |  |  |  |
| 12.                                    | Preliminary Amendment  |  |  |  |  |  |
| 13.                                    | 3. <u>x</u> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)   |  |  |  |  |  |
| 14.                                    | a. Small Entity Statement(s)   |  |  |  |  |  |
|  | b. Statement filed in prior application, Status still proper and desired   |  |  |  |  |  |
| 15.                                    | Certified Copy of Priority Document(s) (if foreign priority is claimed)  |  |  |  |  |  |
| 16.                                    | 16. x Other: Copy of Postcard w/Express Mail Stamp   |  |  |  |  |  |
|  |  |  |  |  |  |  |
| 17.                                    | If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:   |  |  |  |  |  |
|  | Continuation Divisional Continuation-in-part (CIP)   |  |  |  |  |  |
|  | of prior application No: _   |  |  |  |  |  |
| 18.                                    | Correspondence Address   |  |  |  |  |  |
|  | Customer Number or Bar Code Label  |  |  |  |  |  |
|  | (Insert Customer No. or Attach Bar Code Label here) or   |  |  |  |  |  |
| _ <b>X</b> _                           | _ Correspondence Address Below   |  |  |  |  |  |
|  |  |  |  |  |  |  |
| NAM                                    | NAME Tarek N. Fahmi – Reg. No.: 41,402   |  |  |  |  |  |
| BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |  |  |  |  |  |  |
| ADDI                                   | RESS 12400 Wilshire Boulevard  |  |  |  |  |  |
|  | Seventh Floor  |  |  |  |  |  |
| CITY                                   | Los Angeles STATE California ZIP CODE 90025-1026   |  |  |  |  |  |
| Coun                                   | ntry <u>U.S.A.</u> TELEPHONE <u>(408) 720-8598</u> FAX <u>(408) 720-9397</u>   |  |  |  |  |  |

PTO/SB/17(12-98)

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| FEE TRANSMITTAL FOR FY 1999   |                                |              |                  |                            |                             |             |                   |                  |
|---|--------------------------------|--------------|------------------|----------------------------|-----------------------------|-------------|-------------------|------------------|
|   |                                |              |                  | TOTAL AMOU                 | NT OF PAYMEN                | NT (\$)     | \$956.00          |                  |
|   | Complete if Known:             |              |                  |                            |                             |             |                   |                  |
|   | cation No.                     |              | ot Yet A         | ssigned                    | <b>**</b>                   |             |                   |                  |
|   | Date He                        |              | Dairean          | al D. Cubbi                | <del></del>                 | <del></del> |                   |                  |
|   | Named Inv<br>Art Unit <u>N</u> |              |                  | al R. Gubbi                |                             |             |                   |                  |
|   | iner Name                      |              |                  | d                          |                             |             |                   | i                |
|   | ey Docket                      |              |                  |                            |                             |             |                   |                  |
| METI  | HOD OF I                       | PAYME        | NT (che          | ck one)                    |                             |             |                   |                  |
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: |                                |              |                  |                            | credit                      |             |                   |                  |
|   |                                |              |                  | ınt Number<br>ınt Name _   | 02-2666                     |             | <del></del>       |                  |
|   | [ x ]                          | Charge       | e Any A          | dditional Fee R            | equired Under               | 37 CFR 1.16 | 6 and 1.17        |                  |
| 2.  | X                              | Payme        | nt Enci          | osed:                      |                             |             |                   |                  |
|   |                                | <u>x</u>     | Checl            | •                          |                             |             |                   |                  |
|   |                                |              |                  | y Order                    |                             |             |                   |                  |
|   |                                |              | Other            | · <del>-</del> · · · · - · |                             |             |                   |                  |
| FEE   | CALCUL                         | ATION        |                  |                            |                             |             |                   |                  |
| 1.  | BASIC F                        | ILING F      | EE               |                            |                             |             |                   |                  |
| Large   | Entity                         | Small        | Entity           |                            |                             |             |                   |                  |
| Fee   | Fee                            | Fee          | Fee              |                            |                             |             |                   |                  |
| Code  | (\$)                           | Code         | (\$)             | Fee Descrip                |                             |             |                   | Fee Paid         |
| 101   | 760                            | 201          | 380              |                            | ation filing fee            |             |                   | <u>\$760</u>     |
| 106   | 310                            | 206          | 155              |                            | ication filing fe           | e           |                   |                  |
| 107   | 480<br>760                     | 207          | 240              | Plant filing f             |                             |             |                   | <del></del> -    |
| 108<br>114  | 760<br>150                     | 208<br>214   | 380<br>75        | Reissue filin              | ig ree<br>application filin | a foo       |                   | <del></del>      |
| 114   | 150                            | 214          | 75               | Provisional                | application filin           | ig ree      |                   |                  |
|   |                                |              |                  |                            |                             |             | SUBTOTAL (1)      | \$ <u>760.00</u> |
|   |                                |              |                  |                            |                             |             |                   |                  |
| 2.  | EXTRA                          | CLAIM        | FEES             | =                          | xtra Claims                 |             | Fee from<br>below | r<br>Fee Paid    |
|   |                                |              |                  |                            |                             |             |                   |                  |
|   | Claims                         | . <u>18</u>  | _                | _                          | 0                           |             | X <u>\$18</u>     | _ = \$ 0         |
| •   | pendent (                      | _            | _5_              | - 3** = <u> </u>           | 2                           |             | X <u>\$78</u>     | _ = <u>\$156</u> |
|   | ple Depe                       |              |                  |                            |                             |             |                   | _ =              |
|   |                                |              |                  | I, it greater; F           | or Reissues, s              | see below.  |                   |                  |
|   | Entity Eac                     | <u>Small</u> |                  |                            |                             |             |                   |                  |
| Fee<br>Code   | Fee<br>(\$)                    | Fee<br>Code  | Fee<br>(\$)      | Fee Descripti              | on                          |             |                   |                  |
| 103   | (२)<br>18                      | 203          | ( <del>4</del> ) | Claims in exc              |                             |             |                   |                  |
| 102   | 78                             | 202          | 39               |                            | claims in exces             | s of 3      |                   |                  |
| 104   | 260                            | 204          | 130              |                            | endent claim, if            |             |                   |                  |
| 109   | 78                             | 209          | 39               |                            | lependent claim             |             | inal patent       |                  |
| 110   | 18                             | 210          | 9                |                            | ims in excess o             |             |                   | ent              |
|   |                                |              |                  |                            |                             |             | SUBTOTAL (        | 2) \$ 156.00     |

## **FEE CALCULATION (continued) ADDITIONAL FEES** Large Entity **Small Entity** Fee Fee Fee Fee Code Fee Description (\$) Code Fee Paid (\$) 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 For filing a request for reexamination 147 2,520 112 920\* 112 920\* Requesting publication of SIR prior to **Examiner action** 113 1,840\* 113 1,840\* Requesting publication of SIR after **Examiner action** 115 110 215 55 Extension for response within first month 116 380 216 190 Extension for response within second month 117 870 217 435 Extension for response within third month 118 1.360 218 680 Extension for response within fourth month 128 1.850 228 925 Extension for response within fifth month 119 300 219 150 **Notice of Appeal** 120 300 220 Filing a brief in support of an appeal 150 121 260 221 130 Request for oral hearing 138 1,510 138 1.510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive unavoidably abandoned application 141 241 605 Petition to revive unintentionally 1,210 abandoned application 142 1,210 242 605 Utility issue fee (or reissue) 143 430 243 215 Design issue fee 144 244 290 Plant issue fee 580 **Petitions to the Commissioner** 122 122 130 130 123 123 50 Petitions related to provisional applications 50 126 126 240 240 **Submission of Information Disclosure Stmt** 581 581 Recording each patent assignment per 40 40 property (times number of properties) \$40 146 760 246 380 For filing a submission after final rejection (see 37 CFR 1.129(a)) 149 380 760 249 For each additional invention to be examined (see 37 CFR 1.129(a)) Other fee (specify) Other fee (specify) SUBTOTAL (3) \$ 40.00 \*Reduced by Basic Filing Fee Paid **SUBMITTED BY:** Typed or Printed Name: Tarek N. Fahmi Signature Date September 24, 1999 41,402 Reg. Number **Deposit Account User ID** (complete if applicable)



## **EXPRESS MAIL CERTIFICATE OF MAILING**

| Date of Deposit: Deposite the date indicated above has been addressed to the for Patents, Washington, | causing this paper or fee to ited States Postal Service to Addressee" service on and that this paper or fee he Assistant Commissioner |
|---|---|
|   | l'anna an Can   |
| (Signature of person mail   | ing paper or tee)   |
| 9/24/99   |   |
| (Date signed)   |   |
|   |   |
| Serial/Patent No.: ****   | Filing/Issue Date: Herewith   |
| Client: SHAREWAVE, INC.   |   |
| Title: METHOD AND APPARATUS FOR   |   |
| TRANSMISSIONS IN A WIRELESS OF BSTZ File No.: 003498, P033  | COMPUTER NETWORK  |
| Date Mailed: 9/24/99  | Atty/Secty Initials:TNF/pab Docket Due Date:  |
| 77=1772   | atent & Trademark Office on the date stamped hereon:  |
| Amendment/Response ( pgs.)  | EX Express Mail No.: EL371011441US EX Check No.30700  |
| Appeal Brief ( pgs.) (in triplicate)  | □ Month(s) Extension of Time Amt: \$916.00  |
| Application - Utility (24 pgs., with cover and abstract)  | Information Disclosure Statement & PTO 149 (_ pgs.) KI Check No. 30701  |
| Application - Rule 1.53(b) Continuation ( pgs.)   | Issue Fee Transmittal Amt: \$40.00  |
| Application - Rule 1.53(b) Divisional ( pgs.)   | Notice of Appeal  |
| Application - Rule 1.53(b) CIP ( pgs.)  Application - Rule 1.53(d) CPA Transmittal ( pgs.)            | Petition for Extension of Time Petition for   |
| Application - Rule 1.53(d) CPA Transmittal ( pgs.) Application - Design ( pgs.)                       | Postcard  |
| Application - PCT ( pgs.)   | Power of Attorney ( pgs.)   |
| Application - Provisional ( pgs.)   | Preliminary Amendment ( pgs.)   |
| Assignment and Cover Sheet  | Reply Brief ( pgs.)   |

Response to Notice of Missing Parts

Transmittal Letter, in duplicate

Fee Transmittal, in duplicate

☐ Small Entity Declaration for Indep. Inventor/Small Business

Certificate of Mailing (First Class)
Declaration & POA ( 4 pgs.)

Drawings: 2 # of sheets includes 3 figures

Other: A copy of the postard

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